

INFORMATION DISCLOSURE STATEMENT

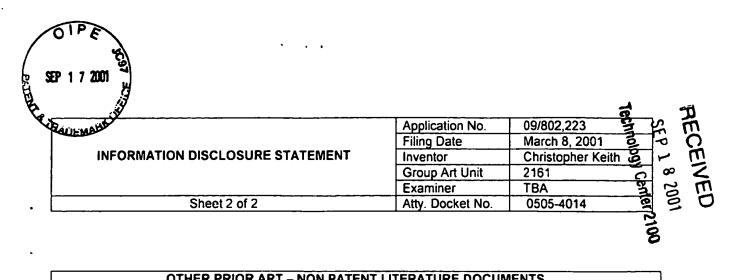
Sheet 1 of 2

	Application	No.	09/802,	223			
ļ	Filing Date		March	B, 2001			
Inventor			Christopher Keith				
	Group Art	Unit	2161		<u>ਤ</u>	D	
į	Examiner		TBA		3 5	m	
Atty. Docket No.			0505-4014			C	
					9 +	一四	
	_					8 12	
٨	MENTS				3	世四	
f Patentee Publicati			Relevan		巨口		
_		MM-DD-	YYYY	text or F	igs. 💫	_]	

U.S. PATENT DOCUMENTS Examiner Cite							
Examiner Initials	Cite No.	U.S. Patent Docum Number	nent Kind Code	Name of Patentee	Publication Date MM-DD-YYYY	Relevant context or Figs.	
MA	AA	6134535		Belzberg	10-17-2000	g	
Ret.	AB	6105005		Fuhrer	08-15-2000	9	
The state	AC	6092056		Tull, Jr. et al.	07-18-2000		
M	AD	6035287		Stallaert et al.	03-07-2000		
West.	AE	6026383		Ausubel	02-15-2000		
MIT	AF	6021398		Ausubel	02-01-2000		
KIRL	AG	6018722		Ray et al.	01-25-2000		
MA	AH	6016483		Rickard	01-18-2000	1	
m	Al	6012046		Lupien	01-04-2000		
NY	AJ	5987435		Weiss	11-16-1999		
MAR	AK	5978779		Stein et al.	11-02-1999		
at-	AL	5963923		Garber	10-05-1999		
1900	AM	5950177		Lupien et al.	09-07-1999		
not	AN	5950176		Keiser	09-07-1999		
TIEST	AO	5946667		Tull, Jr.	08-31-1999		
NE	AP	5946666		Nevo et al.	08-31-1999		
DEL	AQ	5941996		Smith	08-24-1999		
137	AR	5315634		Tanaka et al.	05-24-1994		
XX	AS	5924082		Silverman	07-13-1999		
RE	AT	5826244		Huberman	10-20-1998		
M	AU	5806048		Kiron	09-08-1998		
7							

FOREIGN PATENT DOCUMENTS								
Examiner Cite Foreign Patent Documents		Name of Patentee	Publication Date	Relevant	Trsl.			
Initials	No.	Office	Number	Kind Code	or Assignee	MM-DD-YYYY	text or Figs.	(4)
NST NST	BA	WO	00/63814	A1	Lava Trading	10-26-2000		
NO	BB	WO	01/02930	A2	Globenet	01-11-2001		
יושייו					Capital		1	
					Corporation	li	}	
								

Examiner Signature Notation	Date Considered	10/12/04
-----------------------------	--------------------	----------



		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	AUTHOR, title, magazine, date, pages, publisher, city where published	Trsl.
pp	CA	"AuctionBot", 9 pages from http://auction.eecs.umich.edu, printed March 30, 2000	
MA	СВ	MAES et al., "Agents that Buy and Sell: Transforming Commerce as we Know It", Comm. ACM, March 1999, vol. 42, no. 3	
NSF	СС	WELLMAN et al., "Real Time Issues for Internet Auctions", First IEEE Workshop on Dependable and Real-Time E-Commerce Systems (DARE-98), Denver, CO, June 1998	
W	CD	WURMAN et al., "Flexible Double Auctions for Electronic Commerce: Theory and Implementation", July 13, 1998, to appear in "Decision Support Systems"	
nat	CE	MERTZ et al., "The Handbook of Investment Technology," Chapters 7, 8, and 15	
1117	CF	KEYES, "Handbook of Technology Financial Services, Chapters 5, 36, 37, 40	
	CG	TEWELES et al, "The Stock Market," Chapters 8 and 23	
NO	СН	DALTON, "How the Stock Market Works," Chapters 5 and 7	
M	CI	"PeCo-Mediator: finding partners via personal connections," IEEE Int. Conf. on Systems, Man and Cybernetics 1996, vol. 1:802-7 Abstract	
MA	Cl	PROPSON, "The Secret Matchmaker," BUSINESS2.COM, March 6, 2001, pp 90-93	
W	СК	ARVEDLUND, "An Arranged Exchange," BUSINESS2.COM, February 6, 2001, pp 90-91	
MIT	CL	CHANG, "New Age Bidding: Against Computers, Humans Usually Lose," New York Times, January 2, 2001, pages 1-3	
Wit	CM	RIJNEN, "Trading Places," Alleycat News, pages 61-73, November 2000	
MI	CN	e-mail from lab_rat@listserv.redherring.com, dated February 8, 2001, Subject: LAB RAT: Smart technology trounces traders, pages 1-5	

Examiner	11/82 1-	Date	10/10/11
Signature	Mother	Considered	10/1409